Atty. Dkt. No. 088941-0203

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Sumio OGAWA et al.

Title:

METHOD OF MANUFACTURING

SEMICONDUCTOR DEVICES

Appl. No.:

Unassigned

Filing Date:

Janaury 15, 2002

Examiner:

Unassigned

Art Unit:

2121

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56

Commissioner for Patents Box PATENT APPLICATION Washington, D.C. 20231

Sir:

Applicants submit herewith on Form PTO-1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 09/814,871, filed 03/23/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.





The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

David A. Blumenthal

Attorney for Applicants Registration No. 26,257

Date January 15, 2002

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(MODIFIED)		PATENT AND TRADEMARK OFFICE			088941-0203		Unassigned			
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INFORMATION DISCLOSURE CITATION						Sumio OGAWA	et al.	D.	₹	
					FILING DATE		GROUP ART UNIT			
(Use several sheets if necessary)					January 15, 2002		2121			
			U.S. PA	ATEN	T DOCUMENTS			ָבָּ בַּ		
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME Fukuda et al.		CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE		
	A1	6,143,584	11/07/2000			438	50			
	A2	6,194,235	02/27/2001	Ма		438	14	 	-	
	A3	6,192,291	02/20/2001	Kwon		700	121	<u> </u>		
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	A8	5,642,296	06/24/1997	Saxena		702	84			
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	REF	DOCUMENT NUMBER	DATE	COUNTRY		CLASS	SUB- CLASS	TRANS	LATION NO	
	A9	11-045839	02/16/1999	Japan						
	A10	11-045919	02/16/1999	Japa	an					
	A11	10-339943	12/22/1998	Japa	an					
	A12	7-085697	03/31/1995	Japa	an					
	A13	4-288811	10/13/1992	Japa	an					
		OTHER DOCL	IMENTS (Includ	ling A	uthor, Title, Date,	Pertinent Pages,	Etc.)	•	1	
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EXAMINER			DATE CONSIDERED							

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not consider d. Include any copy of this form with next communication to applicant.

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